



1. Fill the form in block letters and in legible handwriting to avoid errors in application processing. If any alteration is made, a countersign is mandatory. 2) Fill the form yourself or get it filled in your presence, do not sign and/or submit blank forms. 3) No transaction will be processed with blank details. 4) Please refer to Back side of page for the name, sales load details and Account Payee Title. Instrument should be crossed 'Account Payee Only'. 5) If payment instrument is returned, the unpaid application will be rejected. 6) Sales load (charges) and all taxes will be applicable on investment as per the constitutive documents of the Fund. 7) Units will be allocated after deduction of applicable load (charges) and all taxes. 8) Application will be processed as per cut-off timings of the Fund.

Date:

| 1) Principal Applicant | 's Details (| Man | dato | ry) | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|----------------------|-----|----------------|-----|-------------------------|-----|---------------|--|--------------|-----|--|--|--|------------------------------|--|--|--|----|--------|--------|-------|-----|--|--|--|--|--|----|------|
| Title of Account | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Investor Registration Number | | | | | CNIC/NICOP/Passport No. | | | | | | | | | | | | | | | | | | | | | | | | |
| 2) Conversion Details | (Mandator | y) | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Convert (Redeem) From the Fund (Unit Type) | | | | | Amount in Rupees | | | | No. of Units | | | | | Convert (Invest) To The Fund | | | | | | | | | | | | | | | |
| (a) | | | | | | | | | J | All | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | or | | | | | | | | | | | | | | | | | | | | |
| | - 12 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | Sale | | ad W (Inve | | | 4 | | | | | | | | Iı | ivesto | or's S | ignat | ure | | | | | | | |
| Certificates Issued | ☐ No ☐ attached w | | s, Ce his F | | ate 1 | No. | | | | | | | | | | | | | | | | | | | | | | is | /are |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

3) Declaration and Signature(s)

- I/ we confirm that I/We have carefully read, understand and agree to abide by all the rules, regulations, relevant Trust Deed (including Supplemental(s)), Offering Documents (including Supplemental (s)), guidelines (on this form) that conversion of units will be made in accordance with the terms and conditions mentioned in the constitutive documents of the above mentioned funds along with details of Sales Load to be deducted (if any) including taxes.
- 2. I/We also confirm having the knowledge of applicable load percentages.
- 3. I/ We understand that all investments in mutual funds are subjected to market risk and the price of the Fund's units may go down resulting in loss of principal amount
- 4. If We understand that the conversion price of the units of Funds will be differ due to Sales Load and/or Back end Load (if any) and is higher than NAV price of the Units of Funds.
- 5. I/ We understand that in case of Funds operating under forward pricing mechanism, the price of units applicable for Investment/Conversion/Redemption will not be known at the time of Investment / Conversion/Redemption.
- 6. I/We understand that conversion of unit's transaction may be subject of Capital Gain Tax (CGT) in accordance with the requirement of Income Tax Ordinance, 2001 applicable in Pakistan and the Directives issued by Federal Board of Revenue from time to time.
- 7. I/We confirm that Alfalah Investments facilitator/distributor has explained the features and risk of the product and I/we have understood these features and risks in which I/we have agreed to invest.
- 8. I/We agree that I/we shall assume sole responsibility for determining the merits or suitability of any and all advice and /or recommendations of Alfalah Investments before relying on the same to enter into any transaction. I/We will not hold Alfalah Investments responsible for any loss which may occur as a result of my/our decision.
- 9. If we understand that this CIS Risk Categorization will help me/us assess my/our risk appetite. I am/ we are aware that my/our financial needs may change over time depending on my/our personal and situation objectives. If we shall be solely responsible for all of my/our current and future investment transactions.
- 10. I/ we confirm that I am/ we are investing in Fund and the risk level of this fund is mentioned in section 5, 8 and 9. I/ we confirm that I/ we will not hold Alfalah Investments responsible for any loss which may occur as a result of my decision. I/ we further agree that Alfalah Investments facilitator/ distributor has advised us to select a specific fund category as per my/ our risk profile. However, I/ we reserve the discretion to invest in any other fund category.

4) Fund as per Category (Annexure)

I/ we confirm that I am/ we are investing in Fund and the risk level of this fund is mentioned in Annexure A, which contains the List of Funds and their corresponding Risk Profiles, to assist me/us in selecting funds that align with my/our risk scoring criteria

| Institutional Investor | | tion of Brand e signature o | | | nesses shall be required only in case of Investor with | | | | | | | | | | | | |
|--------------------------------|---------------|--|--------------------|--------------------|--|-------------------------------------|------------|--------------|-------------------------------|-------|--|--|--|--|--|--|--|
| Company Stamp | \neg | Principal Applicant's Signature / Left | Attest | nch Manag | er | Witnesses (Adult Male Persons only) | | | | | | | | | | | |
| | | Hand Thumb Impression | | | | | Name: | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | CNIC | <i>:</i> | | | | | | | | | |
| | | | | | | | Signature: | | | | | | | | | | |
| | | | | | | | Name: | | | | | | | | | | |
| | | | | | | | Name: | | | | | | | | | | |
| | | | | | | | | | | CNIC: | | | | | | | |
| | | | | | | | Signature: | | | | | | | | | | |
| Authorized Signature / Joint H | | | | | | | | Signature(s) | | | | | | | | | |
| (a) Name: | | | | | | | | | | | | | | | | | |
| (b) Name: | | | | | | | | | | | | | | | | | |
| (c) Name: | | | | | | | | | | | | | | | | | |
| (d) Name: | | | | | | | | | | | | | | | | | |
| 5) Investment Facilitator / Di | strib | utor Details (For Office Use Only) | | | | | | | | | | | | | | | |
| Distributor / Facilitator Name | | | | Code | | | | | Distributor's Stamp with date | | | | | | | | |
| Branch Name | | | | | | 6.2 | and time | | | | | | | | | | |
| Date and Time Stamping | For | m received by | Name and Signature | | | | | | | | | | | | | | |
| | Date | e, Form and attachments verified by | | Name and Signature | | | | | | | | | | | | | |
| | Data input by | | | | | e | | | | | | | | | | | |

| Risk F | rofiling Questionnair | e (To be filled separa | tely by each Join | t Holder) | | | رسك پروفائلنگ كا سوالنامه | | | | | |
|---|--|----------------------------------|---|--|--|--|--|--|--|--|--|--|
| | Score اسکور | | 1 | 2 | 3 | 4 | Select Score انتخاب اسکور | | | | | |
| 01 | Age عىر | | 60 above 60 سال سے زائد | 46-60 مال 46-60 | 30-45 سال 30-45 | 30 below 30سال سے کم | 1 2 3 4 | | | | | |
| 02 | What is your investm کی سرمایه کاری کا دورانیه کیا ہے ؟ | | Up to 6 months 6 ماه تک | Up to 1 year سال تک | 1-3 years 1 سے 3 سال | More than 3 years 3 سال سے زائد | 1 2 3 4 | | | | | |
| 03 | Define your investme بنی معلومات کے بار _ے میں بتاینے ؟ | | None بالكل نهيں | Fresh/Basic تازه/بنیادی | Well-versed مہارت حاصل ہے | Expert ماہر | 1 2 3 4 | | | | | |
| 04 | What is your risk app سے آپ کا خطرے کا میلان کیا ہے ؟ | | Lower بہت کم | Low کم | Moderate معتدل | High میادہ | 1 2 3 4 | | | | | |
| 05 | | lasses? | . | Money Markets/ National Savings منی مارکیٹس/ نیشنل سیونگز | Fixed Income/ Debt فکسڈانکم / قرضه | /Equity/Forex Commodity ایکونٹی / فاریکس / کموڈیٹی | 1 2 3 4 | | | | | |
| 06 | Investment Objective سرمایه کاری کا متصد | | Liquidity Management لیکیریڈیٹی کی انتظام کاری | Regular Income مستقل آمدنی | Medium Term Capital Appreciation درمیانی مدتی کیپیٹل میں اضافه | Long Term Wealth Accumulation طویل مدت کے لامے سرمایہ جمع کرنا | 1 2 3 4 | | | | | |
| | h Score Applicable *انتهانی اسکور قابلِ | | | | Total Score کُل اسکور | | | | | | | |
| Optio | n Score اسکور | Risk Tolerance خطرے کی برداشت | Fund Risk Category فنڈرس <i>ک کیٹیگری</i> | | | | | | | | | |
| Α | 1 – 6 | Very Low بہت کم | Money Market Fu | nds with no ex | posure in Corpo | rate Commercial | Papers | | | | | |
| В | | | | | | | | | | | | |
| С | · · · · · · · · · · · · · · · · · · · | | | | | | | | | | | |
| D | 16 - 20 | درمیانه | CPPI Strategy Based Funds, Income Funds (where Investment is made in fixed rate instruments or below A rated Banks or Corporate Sukuks or Bonds, Spread Transactions, Asset Allocation and Balanced Funds (with Equity Exposure up to 50% mandate). | | | | | | | | | |
| E | E More than 20 High (with 30% - 70% Equity exposure mandate) and Balanced Funds (with 30% - 70% Equity exposure mandate), Commodity Funds, Index Trakker Funds and Sector Specific Equity related Funds. | | | | | | | | | | | |
| Your option/solution based on your score is A B C D E آپ کاانتخاب /حل آپ کے اسکور پر مبنی ہے | | | | | | | | | | | | |
| | Ve would like to invest | in line with the recom | mendation outline | ed above. | یں گے . | کاری کرنا چاہوں گا / چاہ | میں / ہم مذکورہ بالا تجویز کے مطابق سرمایه | | | | | |
| ☐ I/We do not agree with recommendation outlined above and want to invest according to my/our own decision and investing my Fund in | | | | | | | | | | | | |

Customer Declaration

I hereby declare that I have read, understood and completed this entire profiling questionnaire on my own. I understand that this questionnaire only helps me assess my risk appetite based on the information provided by me and I have the sole right to choose the investment as I deem fit which may not fit my risk profile. I am aware that my financial needs may change over time depending on my personal and situation objectives and I shall be solely responsible for all my current and future investment, conversion and transfer transactions if these are not in accordance with my above-mentioned risk profiling results. I also understand that this questionnaire does not constitute, in any manner, advice given by the Company. I will not hold the Company liable or responsible for these transactions in any manner.

میں / ہم مذکورہ بالا تجویز سے متفق نہیں ہوں / ہیں اور اپنے فیصلے کے مطابق سرما یه کاری کرنا چاہتا ہوں / چاہتے ہیں اور

میں سرمایه کاری کررہا ہوں / کررہے ہیں۔

صارف کا اقرار میں اقرار کرتا ہوں که میں نے بذات خود اس پرو فائلنگ سوالنامے کو پڑھا ، سمجھا اور مکمل کیا ہے . میں سمجھتا ہوں که یه سوالنامه میری جانب سے فراہم کردہ معلومات کی بنیاد پر صرف میرے خطرے کے میلان کا جائزہ لینے میں مدد کرے گا اور مجھے اس بات کا مکمل حق حاصل ہے که میں اس سرمایه کاری کا انتخاب کروں جسے میں موزوں سمجھتا ہوں اور وہ میرے رسک پروفائل کے لیے موزوں نه ہو. میں اس بات سے واقف ہوں که میری مالی ضروریات وقت گزرنے کے ساتھ ساتھ تبدیل ہوسکتی ہیں جن کا انحصار میری ذات اور صورت حال کے مقاصد پر ہے ۔ ٹرانزیکشنز میرے درج بالا رسک پروفائلنگ نتانج کے مطابق نه ہونے کی صورت میں موجودہ اورمستقبل میں ہونے والی سرمایه کاری، کنورژن (تبادلے) اور ثرانسفر ثرانزیکشنز کا میں خود ذمه دار ہوں اور یه که میں کمپنی کو ان ثرانزیکشنز کر لیے کسی بھی طرح ذمه دار نہیں سمجھوں گا. یه بھی سمجھتا ہوں که یه سوالنامه کسی بھی طرح کمپنی کی جانب سے دیئے جانے والے مشورے کا جواز نہیں بنتا